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PATENT  
Attorney Docket No.: COOL-01901

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                  |   |                                  |
|----------------------------------|---|----------------------------------|
| In re Application of:            | ) | Group Art Unit: 3753             |
| Daniel J. Lenehan et al.         | ) | Examiner:                        |
| Serial No.: 10/731,674           | ) | <b><u>TRANSMITTAL LETTER</u></b> |
| Filed: December 8, 2003          | ) | 162 N. Wolfe Road                |
| For: <b>PUMP AND FAN CONTROL</b> | ) | Sunnyvale, CA 94086              |
| <b>CONCEPTS IN A COOLING</b>     | ) | (408) 530-9700                   |
| <b>SYSTEM</b>                    | ) | Customer No.: 28960              |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 8-31-04

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

## CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK &amp; OWENS LLP.

Date: 8/31/04 By: Nicole



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Attorney Docket No.: COOL-01901

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| In re Application of:            | ) | Group Art Unit: 3753                   |
| Daniel J. Lenehan et al.         | ) | Examiner:                              |
| Serial No.: 10/731,674           | ) | <b><u>SUPPLEMENTAL INFORMATION</u></b> |
| Filed: December 8, 2003          | ) | <b><u>DISCLOSURE STATEMENT</u></b>     |
| For: <b>PUMP AND FAN CONTROL</b> | ) | 162 N. Wolfe Road                      |
| <b>CONCEPTS IN A COOLING</b>     | ) | Sunnyvale, CA 94086                    |
| <b>SYSTEM</b>                    | ) | (408) 530-9700                         |

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 2004/0120827 A1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 8-31-04

By: Thomas B. Haverstock

Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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- 2 -

HAVERSTOCK & OWENS LLP.

Date: 8/31/04

By: Nicole Kew



PATENT  
Attorney Docket No.: COOL-01901

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Daniel J. Lenehan et al.

Serial No.: 10/731,674

Filed: December 8 , 2003

For: **PUMP AND FAN CONTROL  
CONCEPTS IN A COOLING  
SYSTEM**

) Group Art Unit: 3753

) Examiner:

) **CERTIFICATION FOR**  
) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT UNDER 37**  
) **CFR § 1.97(e)**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on August 12, 2004. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 8-31-04

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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- 2 -

HAVERSTOCK & OWENS LLP  
Date: 8/31/04 By: Thomas B. Haverstock

FORM PTO-1449  
(Modified)

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: COOL-01901

Serial No.: 10/731,674

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

Applicants: Daniel J. Lenehan et al.

(37 CFR § 1.98(b))

Filing Date: December 8, 2003

Group Art Unit: 3753

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials |    | Serial / Patent<br>Number | Issue Date | Applicant / Patentee | Class | Subclass | Filing Date |
|----------------------|----|---------------------------|------------|----------------------|-------|----------|-------------|
|                      | AA | 2004/0120827<br>A1        | 06/24/04   | Kim et al.           | 417   | 48       | 12/18/02    |
|                      | AB |                           |            |                      |       |          |             |
|                      | AC |                           |            |                      |       |          |             |
|                      | AD |                           |            |                      |       |          |             |
|                      | AE |                           |            |                      |       |          |             |
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|                      | AQ |                           |            |                      |       |          |             |
|                      | AR |                           |            |                      |       |          |             |
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|                      | AT |                           |            |                      |       |          |             |
|                      | AU |                           |            |                      |       |          |             |
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|                      | AZ |                           |            |                      |       |          |             |
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|                      | BF |                           |            |                      |       |          |             |
|                      | BG |                           |            |                      |       |          |             |
|                      | BH |                           |            |                      |       |          |             |

Examiner:

Date Considered:

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.